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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>5</sup> :</b> <b>A61K 37/22, 9/58, C12P 21/06</b> <b>C12N 15/00, A61K 37/00</b>	<b>A1</b>	<b>(11) International Publication Number:      WO 91/06309</b> <b>(43) International Publication Date:      16 May 1991 (16.05.91)</b>
<b>(21) International Application Number:</b> PCT/US90/05993 <b>(22) International Filing Date:</b> 18 October 1990 (18.10.90) <b>(30) Priority data:</b> 431,552      3 November 1989 (03.11.89)      US <b>(71) Applicant:</b> VANDERBILT UNIVERSITY [US/US]; 305 Kirkland Hall, Nashville, TN 37240 (US). <b>(72) Inventor:</b> BRIGHAM, Kenneth, L. ; 137 Wentworth Ave- nue, Nashville, TN 37215 (US). <b>(74) Agent:</b> KOHN, Kenneth, I.; Tilton, Fallon, Lungmus & Chestnut, P.O. Box 4390, Troy, MI 48099 (US).		<b>(81) Designated States:</b> AT (European patent), AU, BE (Euro- pean patent), CA, CH (European patent), DE (Euro- pean patent), DK (European patent), ES (European pa- tent), FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, LU (Euro- pean patent), NL (European patent), SE (European pa- tent).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> METHOD OF IN VIVO DELIVERY OF FUNCTIONING FOREIGN GENES  <b>(57) Abstract</b>  A method of expressing a foreign gene in cells of a mammalian organ in vivo includes the step of injecting genetic material capable of inducing desired gene products into a mammal. The genetic material is complexed to cationic liposome carriers. The genetic material is lipofected into cells of the mammalian organ. Gene expression and cellular function of the genetic material are activated to produce the desired foreign products in the cells of the organ.		

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**METHOD OF IN VIVO DELIVERY OF  
FUNCTIONING FOREIGN GENES**

**TECHNICAL FIELD**

5

The present invention relates to the incorporation of a foreign gene into cells of a mammalian organ in vivo. More specifically, the present invention provides in vivo transient  
10 transfection of lung cells by intravenous injection of a genetic material.

**BACKGROUND ART**

15

Functioning DNA can be introduced by a variety of techniques resulting in either transient expression of the genes of interest, referred to as transient transfection, or permanent transformation of the host cells resulting from incorporation of the  
20 foreign DNA into the host genome. Berger, S.L., and A.R. Kimmel. 1987. "Guide to molecular cloning techniques". Methods in Enzymology. 152:692-694. Potter, H., L. Weir and P. Leder. 1984. "Enhancer-dependent expression of human K immunoglobulin genes  
25 introduced into mouse pre-B lymphocytes by electroporation". Proc. Natl. Acad. Sci. 81:7161-7165. Plasmids complexed with cationic liposomes, referred to as liposome-DNA complexes, have been used for the transfection of cell cultures.

30

The use of liposomes for such transfection has been referred to as lipofection. Of the many techniques used for transfecting cells, lipofection has been found to offer advantages of simplicity and high transfection efficiency. Brigham, K.K., B.  
35 Meyrick, B. Christman, L.C. Berry, and G. King.

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"Expression of a prokaryotic gene in cultured lung endothelial cells following lipofection with a plasmid vector". Am. J. Resp. Cell. Mol. Biol., 1:95-100, 1989. Felgner, P.L., T.R. Gadek, M. Holm, 5 R. Roman, H.W. Chan, M. Wenz, J.P. Northrop, G.M. Rignold, and M. Danielsen, 1987. "Lipofection: A highly efficient, lipid-mediated DNA-transfection procedure". Proc. Natl. Acad. Sci. 84:7413-7417.

Kaneda et al, Sci. Vol. 243, January 1989, 10 pages 375-378 discloses an in vivo transfection technique wherein plasmid-DNA and nuclear protein were cointroduced into nondividing cells in rat liver by injection into the portal veins of adult rats, the plasmid-DNA being carried into liver cell 15 nuclei by the nuclear protein. Wu et al in the Journal of Biological Chemistry, Vol. 263 No. 29 issue of Oct. 15, pages 14621-14624, 1988 disclose an in vivo transfection technique wherein plasmids are bound to ligands for specific hepatic galactose 20 receptor complexes injected IV and showed specific gene expression in the liver.

The present invention provides an in vivo lipofection technique resulting in expression of desired foreign products in cells of a mammalian 25 organ.

#### SUMMARY OF THE INVENTION

In accordance with the present invention, 30 there is provided a method of expressing a foreign gene in cells of a mammalian organ in vivo, the method including the steps of injecting a gene expression inducer of desired gene products into a mammal. The inducer is complexed to a cationic

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liposome carrier. The inducer is lipofected into cells of the mammalian organ. Gene expression and cellular function of the inducer is activated to produce the desired gene products in the cells of the  
5 organ.

## FIGURES IN THE DRAWINGS

Other advantages of the present invention  
10 will be readily appreciated as the same becomes better understood by reference to the following detailed description when considered in connection with the accompanying drawings wherein:

Figure 1 shows expression of a human growth  
15 hormone gene in the lungs of mice following intravenous injection of a plasmid containing the coding region for human growth hormone driven by a metallothionein promoter. Data are expressed as ng hGH per 24 hours per gm tissue and all points are  
20 means of data from 2 different animals. Control values were subtracted from the data in the figure. There was minimal expression of the foreign gene in either kidneys or liver, but the gene was expressed in the lungs with a time course similar to that seen  
25 in cultured endothelial cells;

Figure 2 shows CAT activity in the organs of mice 72 hours following injection of the DNA-liposome complex; and

Figure 3 shows lung CAT activity for the 3  
30 days following injection of the liposome-DNA complex.

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## DETAILED DESCRIPTION OF THE INVENTION

Generally, the present invention provides a method of expressing a foreign gene in cells of a mammalian organ in vivo. The method includes the steps of injecting a gene expression inducer of desired gene products into a mammal. For example, the gene expression inducer could be genetic material, such as DNA or RNA. The gene products could be, for example, a polypeptide or protein such as a growth hormone.

The inducer is complexed to a cationic liposome carrier. An example of such a carrier is LIPOFECTIN~, manufactured by Bethesda Research Laboratories Life Technologies, Inc. of Gaithersburg, MD. LIPOFECTIN~ reagent has previously been used for transfecting cells in vitro. LIPOFECTIN~ reagent contains the cationic lipid LN-[1-(2,3-Dioleoyloxy)propyl]-N,N,N-trimethylammonium chloride which complexes with the DNA by ionic interaction. Generally, the complex then fuses with cell membrane and is transfected into the cell. Methods of utilizing lipofection as highly efficient transfection procedure have been reported by Felgner et al, Proc. Natl. Acad. Sci. U.S.A. Vol. 84, pages 7413-7417 Nov. 1987; and by Felgner et al Nature Vol. 337 26 Jan. 1989, pages 387-388.

Utilizing the cationic liposome carrier, the genetic material is lipofected into the cells of the mammalian organ. Gene expression and cellular function of the genetic material is activated to produce the desired foreign products in the cells of

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the organ. That is, expression of particular expression vectors of the genetic material can be promoted.

More specifically, plasmid bound to the carrier, the plasmid containing the predetermined genetic material, is intravenously injected into the blood stream of the mammal in proximity to the organ for transfection. It has been found that when such plasmid carrier complexes are injected intratracheally, a high degree of transfection occurs in the cells of the lung. The genetic expression is transient. The transient expression in vivo of the transfected gene provides utility for gene therapy, including prevention and treatment of acute or subacute conditions which are not necessarily related to any genetic abnormality. The acute abnormality could be irradiated by the transient treatment, prolonged treatment not being necessary. Hence, the present invention could be used not only as a tool for correcting genetic abnormalities, but also as new category of therapy which could broadly be applicable to human disease states. Accordingly, there is an advantage to the transiency of the plasmid transfection.

#### EXPERIMENTAL DATA

1. Expression of Coding Region for Human Growth Hormone.

##### Methods

##### Plasmid Descriptions

Plasmid constructs obtained from Nichols Institute Diagnostics, San Juan Capistrano, California, were used. The plasmid, PXGH5, was a 6.7



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kilobase construct in pUC12 containing the coding region for human growth hormone driven by a mouse metallothionein (mMT-1) promoter. Plasmid DNA was propagated in Escherichia coil. Plasmid DNA was isolated by alkaline lysis and purified by isopyknic equilibration gradient centrifugation in cesium chloride and ethidium bromide. Maniatis, T., E.F. Fritsch, and J. Sam brook. 1982. Molecular Cloning. A Laboratory Manual. Cold Spring Harbor: Cold Spring Harbor Laboratory.

#### In vivo Transfection

In vivo studies were carried out in 6 week old specific pathogen free International Cancer Research/Harlan Sprague-Dawley female mice (body weight 20-25 gm). Beginning 24 hours prior to DNA injection and continuing to the end of the experiment, all mice were given 5000 ppm  $ZnSO_4$  in their drinking water. This amount of zinc has been shown to activate the metallothionein promoter in transgenic mice. Palmiter, R.D., R.L. Brinster, R.E. Hammer, M.E. Trumbauer, M.G. Rosenfeld, N.C. Birnberg, and R.M. Evans. 1982. Dramatic growth of mice that develop from eggs microinjected with metallothionein-growth hormone fusion genes. Nature 300:611-615. Each mouse was injected intravenously through a tail vein puncture with a 25 gauge needle with 30 ug pXGH5 DNA complexed to 150 ug liposome (Lipofectin TM) in a total volume of 300 ul. Mice were killed 1, 3 and 5 days following DNA injection by an intraperitoneal injection of pentobarbital and the lungs, liver and kidneys were removed under sterile conditions. The organs were weighed, minced and placed in 60 mm Petri dishes to which were added

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2ml medium 199. The dishes were incubated for 24 hours at 37°C in 5% CO<sub>2</sub> after which the contents were centrifuged and growth hormone assays were performed on the medium. Growth hormone production was calculated as the product of hGH concentration and the total volume of medium (2ml), normalized to organ weight and expressed as ng hGH per gm tissue per 24 h. Identical measurements were made in 5 non-transfected control mice.

10

## 2. Results

### In vivo Transfection

Medium from 24 hour incubations of lungs, liver and kidneys removed from mice which were not injected with the plasmid-liposome complex showed very low levels of immunoreactive hGH. Calculated hGH production in ng per gm tissue per 24 hours was: lungs = 0.26; kidneys = 0.26; liver = 0.06 (mean of N = 5 in all cases). Expression of the hGh gene in mouse organs removed at different intervals following intravenous injection of plasmid-liposome complex is shown in Figure 1. There was little production of growth hormone by either kidneys or liver. However, lungs removed from the transfected mice produced substantial amounts of growth hormone. hGh production was increased by 24 hours after injection, peaked at 3 days after injection and by 5 days was declining. This time course is similar to that seen in cultured endothelial cells.

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## 3. Experiment 2

A plasmid which contained a prokaryotic (bacterial) gene, chloramphenicol acetyltransferase<sup>a</sup> (CAT), was bound to LIPOFECTIN~ reagent. The liposome-DNA complex was injected into mice. The lungs of the mice were shown to express CAT gene. CAT is not present in any mammalian cells normally and measurement of CAT activity is thus an absolute index of successful expression of the gene. Previously, this plasmid construct was commonly used in cultured cells where CAT activity is proportional to mRNA levels.

Figure 2 shows CAT activity in the organs of mice 72 hours following injection of the DNA-liposome complex and Figure 3 shows lung CAT activity for the three days following intravenous injection of the liposome-DNA complex. There is a large amount of activity in the lungs with no detectable activity in peripheral organs. It is expected that the specific transfection of the lungs is probably because of the lungs being the first organ distal to the site of injection. When the DNA-liposome complex is given intratracheally, as indicated in the Figure, larger amounts of activity appear in the lungs than with intravenous studies. Intraperitoneal injection show no CAT activity in any organ up to 6 days following injection.

## 30 4. Discussion

The present inventive method permits introduction of foreign genes directly into host cells by injection of the genetic material. DNA

bound to specifically synthesized cationic liposomes can introduce plasmids and other gene vectors into cultured cells with high efficiency. It is suspected that the plasmid does not enter the host genome and  
5 does not replicate in a mammalian cell so that the gene expression is transient, in the present examples lasting for greater than a week.

Following intravenous injection of the DNA-liposome complex, the major expression of the  
10 gene was found in the lungs. This is interpreted to mean that the principal organ transfected following intravenous injection is the first capillary bed downstream from the injection site. Accordingly, it should be possible to selectively transfect organs by  
15 injecting the liposome DNA complex in the artery supplying the organ.

Previously, Iannuzzi and Associates transfected human airway epithelial cells with a plasmid containing the prokaryotic gene, CAT, driven  
20 by an SV40 promoter by electroporation. Iannuzzi, M.C. , J.L. Weber, J. Yankaskas, R. Boucher, and F.S. Collins. 1988. "The introduction of biologically active foreign genes into human respiratory epithelial cells using electroporation". AM. Rev. Resp. Dis. 138:965-968. These experiments showed  
25 expression of the CAT gene in transfected cells. Zwiebel and co-workers transformed rabbit aorta endothelial cells in culture by infecting them with a retroviral vector containing either hGH or adenosine  
30 deaminase coding regions driven by an SV40 promoter. Zwiebel, J.A., S.M. Freeman, P.W. Kantoff, K. Cornetta, U.S. Ryan, and W.F. Anderson. 1989. "High-level recombinant gene expression in rabbit endothelial cells transduced by retroviral vectors".

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Science 243:220-243. The experiment showed expression of the genes in the transformed cells which persisted through many generations in culture. The inventors of the present invention earlier showed  
5 that bovine lung endothelial cells could be transfected by lipofection at very high efficiency with a plasmid containing the CAT coding region driven by a Rous sarcoma virus promoter. Brigham, K.L., B. Meyrick, B. Christman, L.C. Berry, and G.  
10 King. "Expression of a prokaryotic gene in cultured lung endothelial cells following lipofection with a plasmid vector". Am. J. Resp. Cell Mol. Biol. 1:95-100, 1989.

The studies detailed above demonstrate the  
15 use of transfecting the lung of intact animals with a functioning gene encoding a physiologically relevant secreted human protein driven by the metallothionein promoter. The time course of expression of the transfected gene in the lung was similar to the time  
20 course of expression over the same gene in cultured lung endothelial cells (experiments not reported).

Gene therapy has generally been conceived as principally applicable to genetic deficiency diseases where permanent cure may be effected by  
25 introducing a functioning gene. Friedmann, T. 1989. "Progress toward human gene therapy". Science 244:1275-1281. However, a much larger group of diseases might be treatable by transiently engineering host cells to produce beneficial  
30 proteins. For example, the intracellular enzymes, superoxide dismutase and catalase, may be crucial protectors of the lungs from oxidant injury and increased intracellular levels of these proteins might protect the lung from injury of a variety of

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causes. Transient increase in endothelial  
prostaglandin synthetase resulting in increased  
production of prostacyclin and prostaglandin E<sub>2</sub> might  
be beneficial in several diseases. The secreted  
5 antiprotease, alpha-1 antitrypsin, may be important in  
the pathogenesis of emphysema and other lung diseases  
and genetic engineering of lung cells to produce this  
protein could be therapeutic in human disease states.  
Garver, R.I., J.F. Mornex, T. Nukiwa, M. Brantly, M.  
10 Courtney, J.P. CeCoco, and R.G. Crystal. 1986.  
"Alpha-1 antitrypsin genes". N. Engl. J. Med.  
314:762-766. Numerous other theoretical  
possibilities could be suggested.

The present data demonstrate that lung  
15 cells in living animals can be engineered by  
transfection to express foreign genes encoding both  
intracellular and secreted proteins. Several  
promoters, including those which permit experimental  
control of gene expression, can be used to drive  
20 expression of the transfected gene. Use of plasmid  
vectors helps to assure transient expression of the  
gene. This approach will permit elucidation of  
molecular mechanisms effecting gene expression in  
specific lung cells and specific investigation of the  
25 roles of various proteins in lung cell responses. In  
addition, in vivo transient transfection may make  
gene therapy applicable to a broad range of human  
diseases.

The invention has been described in an  
30 illustrative manner, and it is to be understood that  
the terminology which has been used is intended to  
be in the nature of words of description rather than  
of limitation.

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Obviously, many modifications and variations of the present invention are possible in light of the above teachings. It is, therefore, to be understood that within the scope of the appended  
5 claims wherein reference numerals are merely for convenience and are not to be in any way limiting, the invention may be practiced otherwise than as specifically described.

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What is claimed is:

1. A method of expressing a foreign gene  
in cells of a mammalian organ in vivo, said method  
5 including the steps of: injecting a gene expression  
inducer of desired gene products into a mammal, the  
inducer being complexed to a cationic liposome  
carrier; lipofecting the inducer into cells of the  
mammalian organ; and activating gene expression and  
10 cellular function of the inducer to produce the  
desired foreign gene products in cells of the organ.
2. A method as set forth in claim 1  
wherein said injecting step is further defined as  
15 injecting a plasmid containing the inducer and bound  
to the carrier into the mammal.
3. A method as set forth in claim 2  
wherein said injecting step is further defined as  
20 injecting the plasmid bound to the carrier into the  
mammal intravenously.
4. A method as set forth in claim 3  
wherein said injecting step is further defined as  
25 injecting the plasmid bound to the carrier  
intravenously into the blood stream of the mammal in  
proximity to the organ for transfection to increase  
the activity of the gene expression in the organ.
- 30 5. A method as set forth in claim 1  
wherein said activating step is further defined as  
activating transient expression and cellular function  
by the inducer to transiently produce the desired  
gene products.



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6. A method as set forth in claim 1  
wherein the inducer is a foreign nucleic acid.

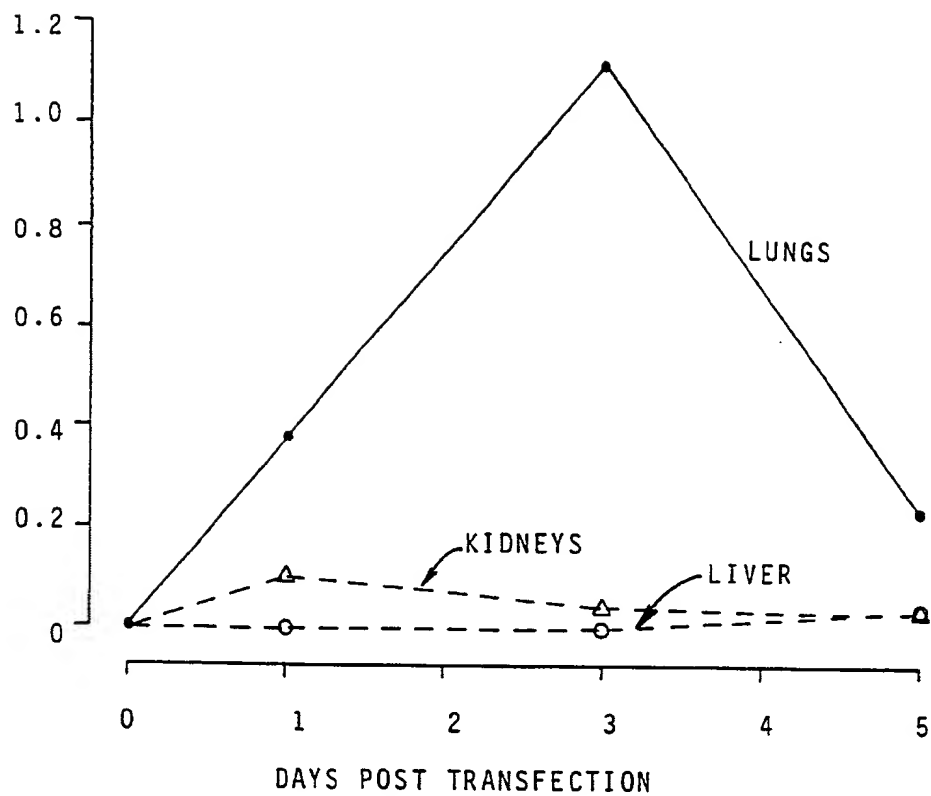
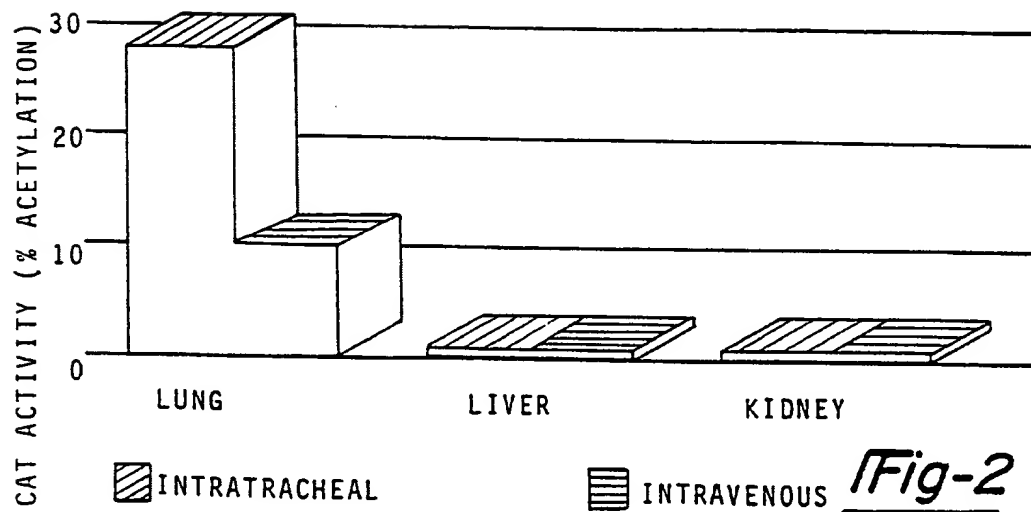
7. A method as set forth in claim 6  
5 further including the step of promoting expression of  
particular expression vectors of the nucleic acid.

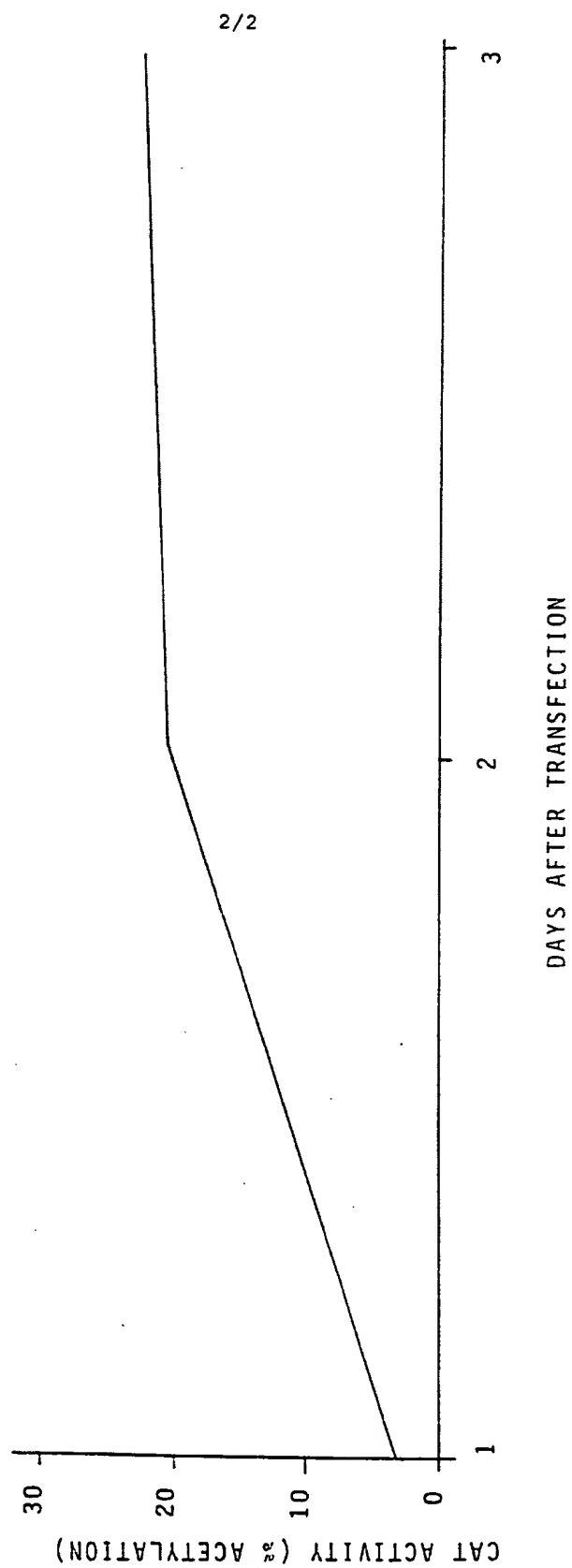
8. A method as set forth in claim 7  
wherein said injecting step is further defined as  
10 injecting carriers containing plasmids containing a  
coding region for human growth hormone intravenously  
into a mouse, the region being driven by a mouse  
metallothionein promoter, said activating step being  
further defined as feeding the mouse a  
15 metallothionein promoter, the promoter activating the  
production of human growth hormone in the lung of the  
mouse.

9. A method as set forth in claim 7  
20 wherein said injecting step is further defined as  
injecting a carrier containing a plasmid containing a  
prokaryotic gene, chloramphenicol acetyltransferase,  
into a mouse and activating chloramphenicol  
acetyltransferase activity in the lung cells of the  
25 mouse.

10. A method as set forth in claim 9  
wherein said injecting step is further defined as  
injecting the carrier containing the plasmid  
30 intratracheally.

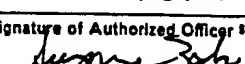
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Fig-1Fig-2

Fig-3

# INTERNATIONAL SEARCH REPORT

International Application No PCT/US90/05993

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>3</sup>		
According to International Patent Classification (IPC) or to both National Classification and IPC		
US Cl: 424/450, 462; 435/69.1; 935/52; 524/44		
IPC(5): A61K 37/22; A61k 9/58; C12P 21/06; C12N 15/00; A61K 37/00		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>4</sup>		
Classification System <sup>1</sup>	Classification Symbols	
US	424/450, 462; 435/69.1; 935/52; 514/44	
Documentation Searched other than Minimum Documentation to the extent that such Documents are included in the Fields Searched <sup>5</sup>		
APS, CAS, Dialog		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>14</sup>		
Category <sup>6</sup>	Citation of Document, <sup>15</sup> with indication, where appropriate, of the relevant passages <sup>17</sup>	Relevant to Claim No. <sup>18</sup>
Y	US, A, 4,579,821 (Palmiter <u>et al</u> ) 01 April 1986, see abstract.	5, 7
Y	Proc. Natl. Acad. Sci. volume 84, issued November 1987, Felgner <u>et al</u> "Lipofection: A highly efficient, lipid-mediated DNA-transfection procedure", pages 7413-7417, see page 7413, Col. 1, paragraph 2 (entire).	9
Y	Recent progress in Hormone Research, volume 39, issued 1983, Pavlakis <u>et al</u> "Expression of Cloned Growth Hormone and Metallothionein Genes in Heterologous Cells", pages 353-385, see page 353, paragraph 2, lines 3-6.	8
Y	Methods in Enzymology, volume 149, issued 1987, Nicolau <u>et al</u> , "Liposomes as Carriers for <u>in Vivo</u> Gene transfer and Expression", pages 157-176, see page 158, Col. 1, lines 1-10.	1-4, 6 and 10
<p><sup>10</sup> Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&amp;" document member of the same patent family</p>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search <sup>1</sup>		Date of Mailing of this International Search Report <sup>2</sup>
09 December 1990		06 FEB 1991
International Searching Authority <sup>1</sup>		Signature of Authorized Officer <sup>20</sup>
ISA/US		 Suzanne Ziska